

Financial Fraud, Waste, and Abuse Hotline Update for the Audit Committee December 2016

Background

- Employee Hotline live as end of May 2015
- Public Hotline live as of mid-November 2016
- Contracted with Ethical Advocate – third party provider
- 24 x 7 x 365
- English and Spanish
- Whistleblower Policy also implemented in May of 2015

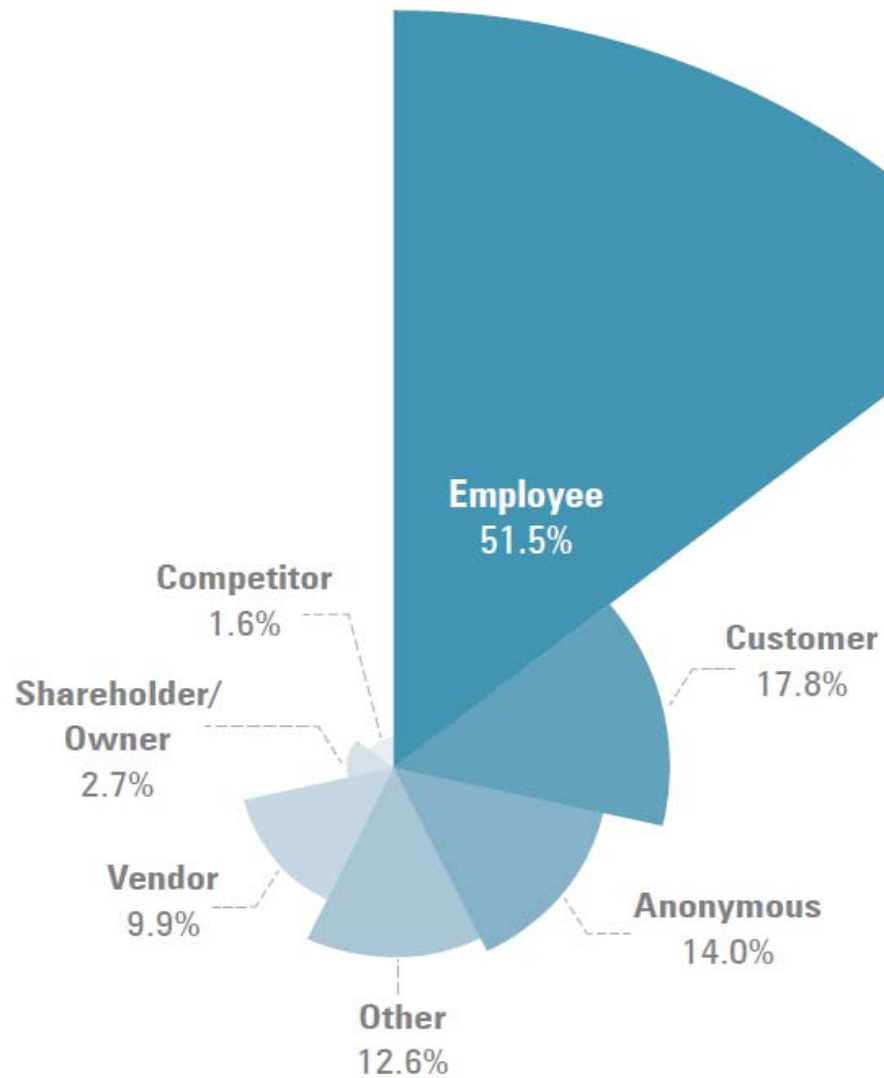
Employee Outreach/Communications

- Posters in all facilities with County employees
- Wallet cards distributed to all employees and provided at new employee orientation
- Employee news/employee intranet
- Road show
 - Reviewing Ethics initiatives, Whistle Blower Policy and Financial Fraud, Waste and Abuse Hotline
 - Delivering information to every department at all levels

- Initial communications
 - Press Release
 - Article in the November/December Citizen
 - Article in the Insider
 - County Website (stand alone page, slider on home page, updated keyword search)
 - Social media
- Ongoing communications long term
 - Posters
 - Direct communications with constituent groups (residents, businesses)

- 23 Reports to Date
 - 20 Employee
 - 3 Public
- 15 Closed and Unsubstantiated
 - 6 HR Related
 - 1 Policy
 - 1 APS Related
- 8 In Process (5 unique)
 - 3 are same issue (2 same complainant – lost id)
 - 2 are another issue
 - 3 others

Source of Tips



Per Association of Certified Fraud
Examiners 2016 *Report to the
Nations*